



Guiding documents for NM OSAP work:

WISE and SMART documents

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<http://www.nmprevention.org/Guiding-Documents.html>

Why this presentation?

- Stakeholders in OSAP have identified a need for more clarity about the guidance documents
- **SMART** – outcomes for any strategy/intervention, including objectives and goals = **where you're going**
- **WISE**- benchmarks, benchmark indicators, activities = **how to get there**
- **Both are core to your reporting**

7/1/20XX



Core values - OSAP strategies

(There is no one route to success)



RESEARCH- BASED

All strategies are derived from best practices, scientific literature. “EBP’s”



COMMUNITY DESIGN

Strategies, their outcomes and benchmarks should reflect NM prevention community input



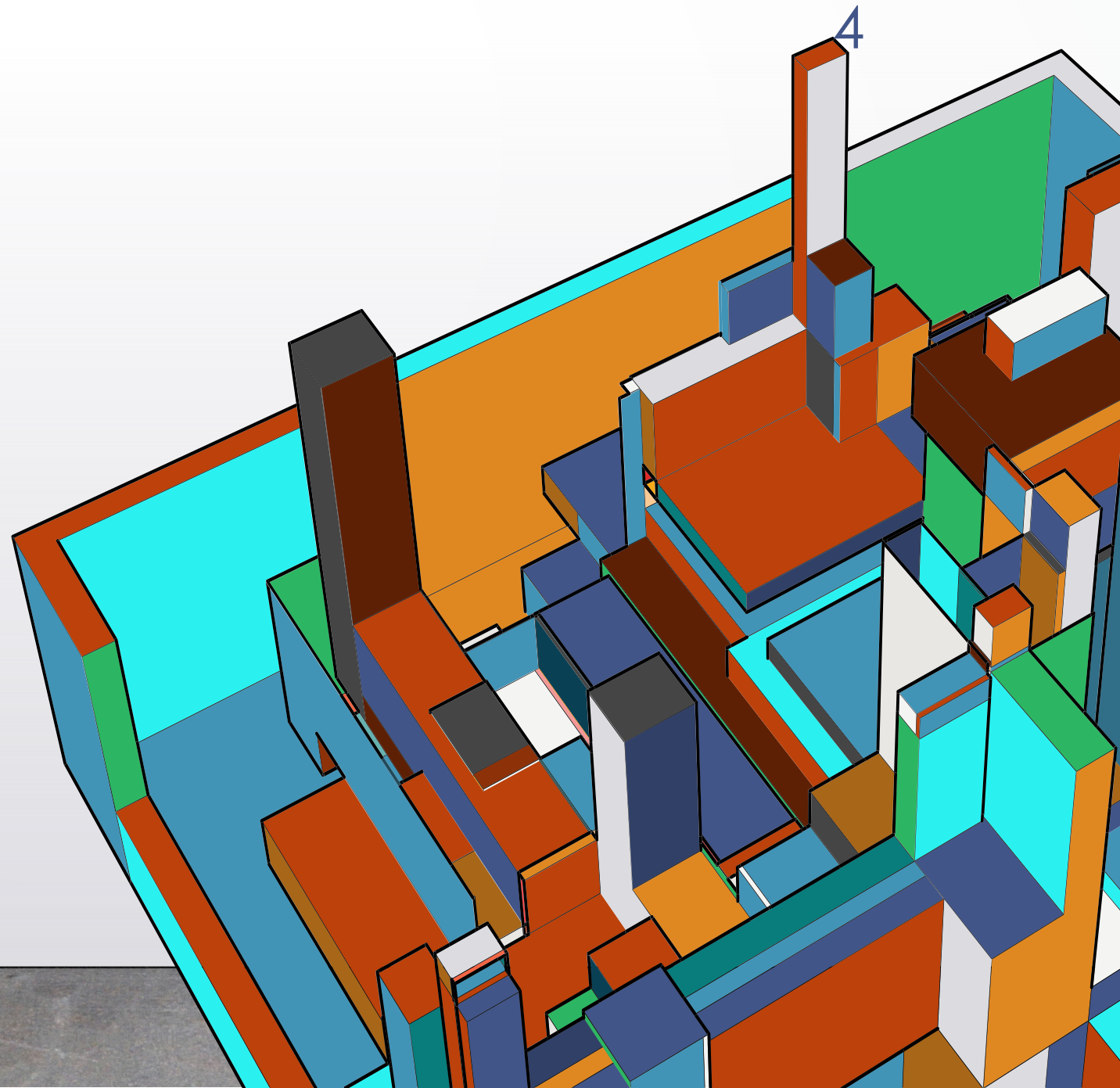
RESPONSIVE TO CHANGE

Design of both documents is meant to allow for adaptation to environmental conditions

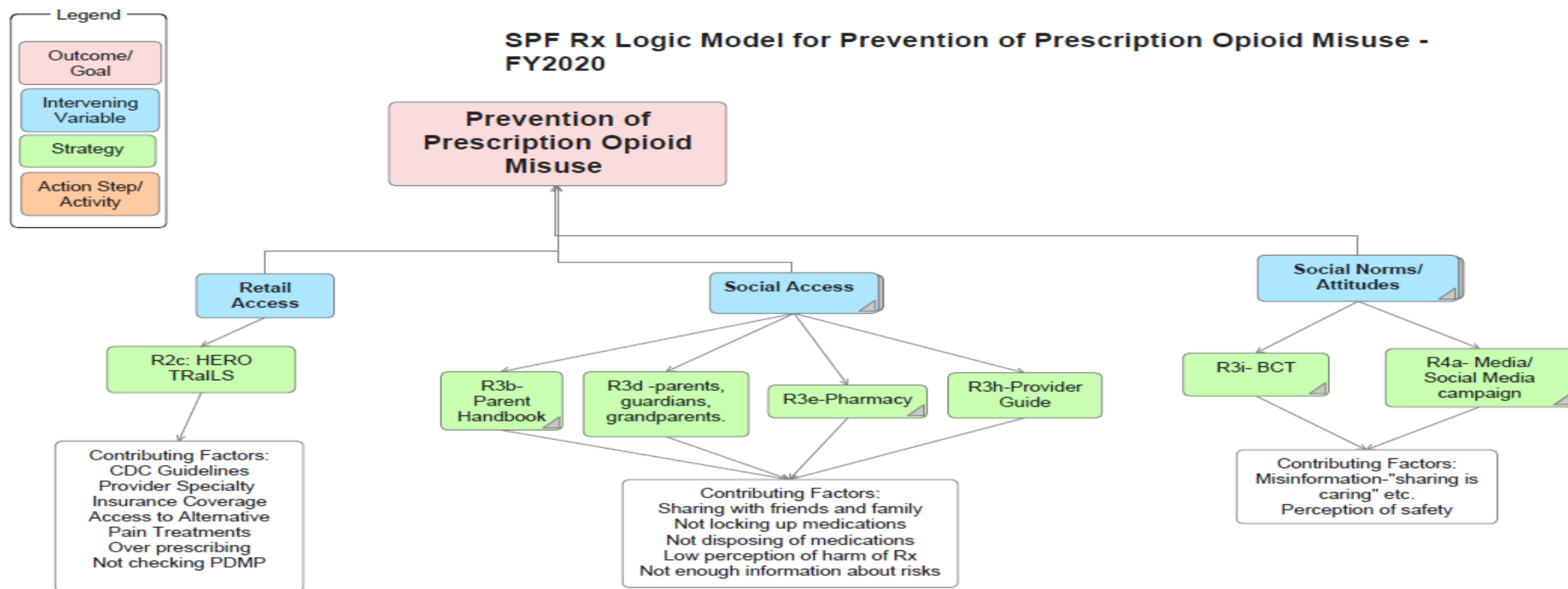
Strategies themselves are derived from research-based logic models

[HOLDER VOAS Drinking & Driving Logic
Models](#)

[C:\Users\lillioff\Desktop\SMART and
WISE\PIRE-Opioid-Prevention-Model-2020-05-
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An OSAP Logic model with OSAP strategies



“Strategies” – (A1b, R2a, etc.) what are they?

Adapted to the federal reporting system that required specific identifiers (CSAP 6, intervening variable, population based, etc.)

Evidence-based, population based/environmental and a general ‘direct service’

Defined during SPF SIG grant (alcohol-related crash prevention) and continued through later discretionary grants focusing on primary prevention of misuse of alcohol and prescription opioids (regulated drugs)

Originally meant to be defined in a way that reflects equitable effort per strategy.....more on this

Each strategy is named/labelled according to substance (A=alcohol, R=prescription opioids) intervening variable (#) and strategy order a,b,c,d

WHY SMART Document?

Created at same time as strategy list

When OSAP was only 1

SMART document was developed to align work expectations overall, identify common outcomes and practices, and streamline oversight by OSAP

Preventionists at this time ...

Were mostly working on evidence & population-based interventions to reduce alcohol-related crashes

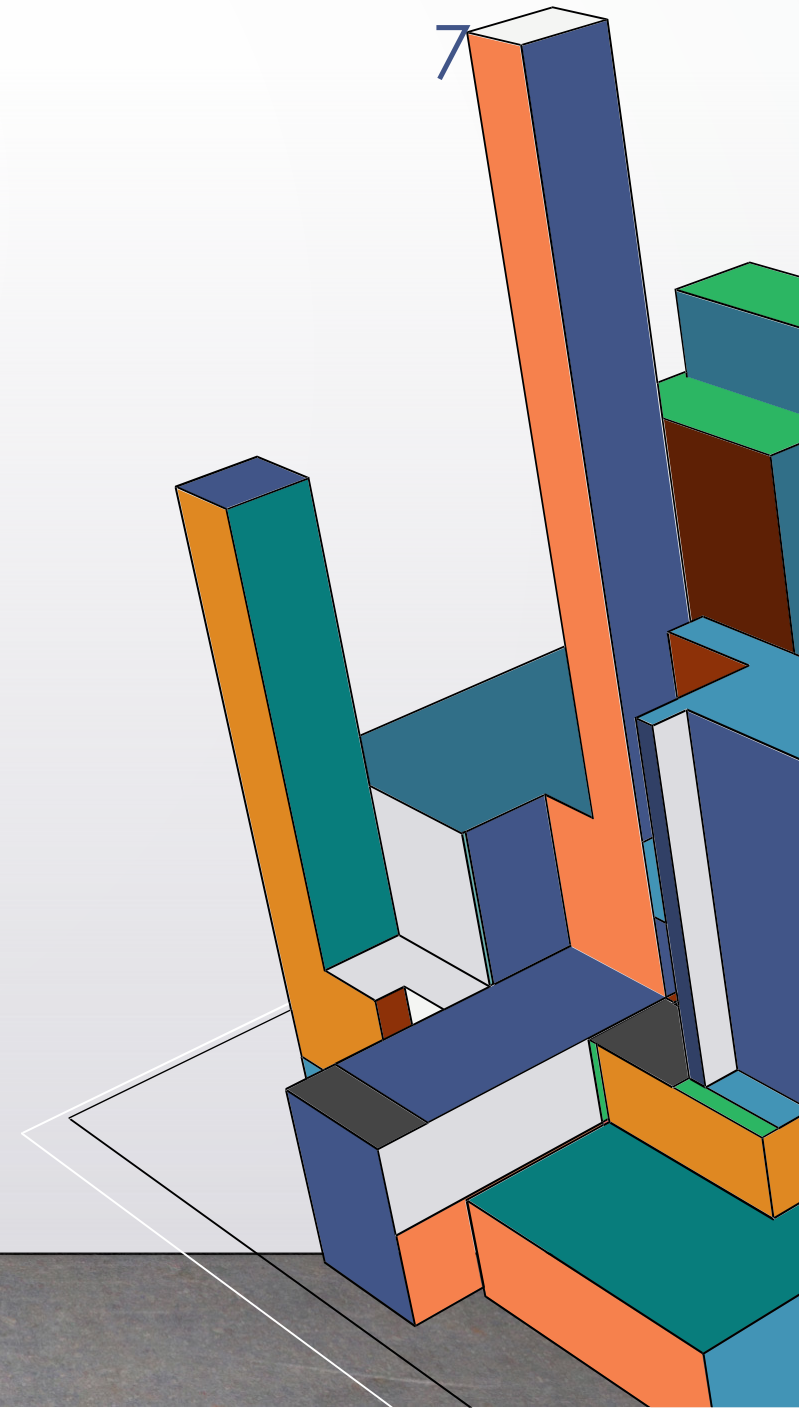
And each wrote their own SMART objective(s).

Cross-site federal evaluation system required tracking and defining of strategies by

- population
- intervening variable
- CSAP 6 category

By creating templates for SMART Objectives for each strategy, all OSAP stakeholders could be on the same page while allowing communities to have flexibility in how they implement.

By knowing what the outcomes were meant to be (e.g., increase perception of harm for RxO misuse) programs could understand expectations of the work.





Helped OSAP meet
several needs at
once:

To align with the list
of approved EBPs
(A2a....) –
developed at same
time

To align work and
reporting among
many programs with
few management
staff

To come to
institutional
agreement about
strategy outcomes.

To support outcomes
evaluation that can
be reported upon
annually (more on
this)

Updated with new
grants, new
strategies, as new
data sources
become available

Ideally updated and
improved
continuously

NMCS and ASFS
analysis and findings
sheets are updated
to reflect these
needs.

History of the “SMART Document”



SMART document

Ease evaluation by establishing easy to identify outcomes for each strategy

Provided an easy and quick way for OSAP leadership, TA, program staff, and evaluation to identify intended outcomes.

Programs and their local evaluators could focus on collectible/already collected data to assess community needs and evaluate population-based outcomes (NMCS)

20% required cut-out for evaluation came from here, knowing that local evaluator holds key knowledge about fidelity and outcomes.

With clearly defined outcomes and data collection measures, Local evaluators could focus on supporting program outcomes.

S M A R T

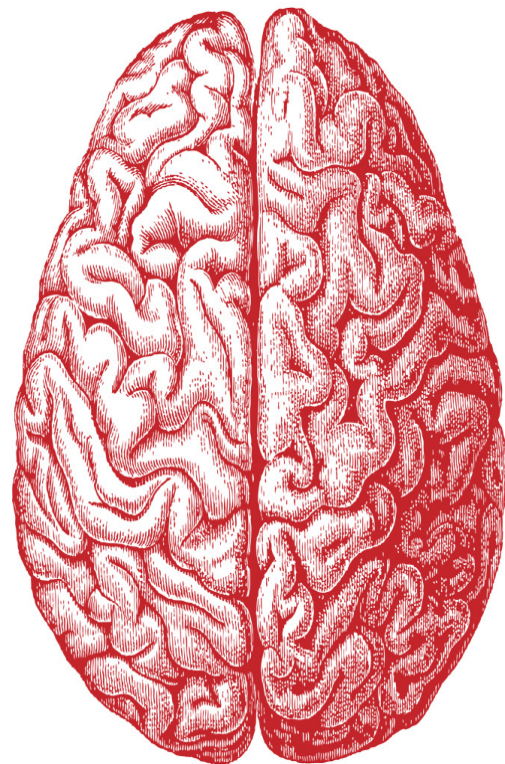
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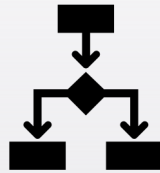
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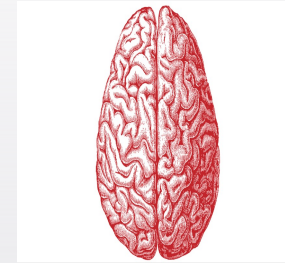
SMART and WISE =
help you understand what you're signing up for!



Support
implementation
with guidance
for intended
outcomes and
fidelity



WISE document
Support all aspects of
SPF steps for strong
implementation



SMART
Identify outcome
measures that are
already available to
the program

So if we're so SMART, why the WISE document?

With the SMART doc and individual SOW's strategic plans alone, Program managers, evaluation, program staff, TA had conflicting ideas about how to implement strategies, especially what was payable.

Need to universally identify specific activities that could be supported by OSAP without constant revision of SOW's/plans or check-ins with OSAP PMs

Need for alignment among OSAP stakeholders about what strategies were meant to achieve and how to achieve it.

When programs focused only on outcomes in reporting, they could feel like failures when inevitable barriers arose

We were challenged to identify and document FIDELITY for population-based (environmental) approaches.





Short term =
Assessment, Planning,
Capacity

Focus of short
term benchmark
indicators,
activities

So why the WISE?



Intermediate =
Implementation and
Evaluation

Focus of
intermediate
benchmark
indicators and
activities



Long term =
Outcomes for each
strategy as defined in
the SMART doc

Focus of your
SMART Objective
and it's
corresponding
indicators

Short,
intermediate
benchmarks
and
long term
outcomes



What else about the WISE?

- Benchmark indicators include working with cultural competency, sustainability, consideration of inequity/disparities, quality improvement, and essential adaptations.
- It's all in your SOW (activities), even when it's not in your reporting/planning document.
- Intentional alignment – key benchmark indicators carry through each strategy that can be tailored to that strategy:

Short term: (planning/capacity)

- Join, establish or otherwise engage with a committee of key stakeholders
- Develop and maintain an action plan to (implement your strategy) and related activities

Intermediate (implementation)

- Monitor, track and assess efforts paying specific attention to fidelity, frequency, dosage, scale and whether the target population is reached in relation to your outcome indicators reflected in your SMART Objective.
- Sustain this strategy by adopting policy changes with enforcement entities and identifying and procuring means to ensure that this work may continue

Planning and Reporting Document is where both come together
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Report_RMYC_FINAL.xlsx](C:\Users\lillioff\Desktop\SMART and WISE\FY 22_RMYC_SAPT EOY Report_RMYC_FINAL.xlsx)



Your strategy and corresponding benchmarks and benchmark indicators are boilerplate from WISE

Your objective, indicators, and the activities you plan and report out on are tailored to your needs but guided by SMART and WISE

This one document was designed to reduce reporting burden while providing guidance on best practices for any given strategy

WISE and SMART roles in SPF process

Assessment –

WISE – What strategies meet your community needs? What other strategies might meet them better given your resources?

SMART – what Objective indicators are realistic to work towards in your community?

Planning –

WISE offers you recommendations for where and how to build readiness and capacity for any given strategy

SMART – what objective indicators may need to be changed in relation to community needs?

Capacity

WISE - Do you have the right strategy/ies?

What strategies work well together?

SMART - Do you have the right outcome indicators?

Implementation

WISE – what steps can be taken for any given strategy? When you're stuck, what else can be done to support the long term outcome?

Continuous Quality Improvement –

(Both) Are you reaching your objectives? Are you stuck on certain benchmark indicator?

Evaluation – fidelity & outcomes

WISE – what steps can be taken for any given strategy? When you're stuck, what else can be done to support the long term outcome?

Continuous Quality Improvement –

(Both) Are you reaching your objectives? Are you stuck on certain benchmark indicator?



BENEFITS of WISE and Smart



Identifies activities
that meet with
strategy fidelity



Creates space for
specific community
needs



Supports program
creativity while
reducing
management

Drawbacks to WISE, SMART and new reporting structure



Lack of full alignment with OSAP billing system



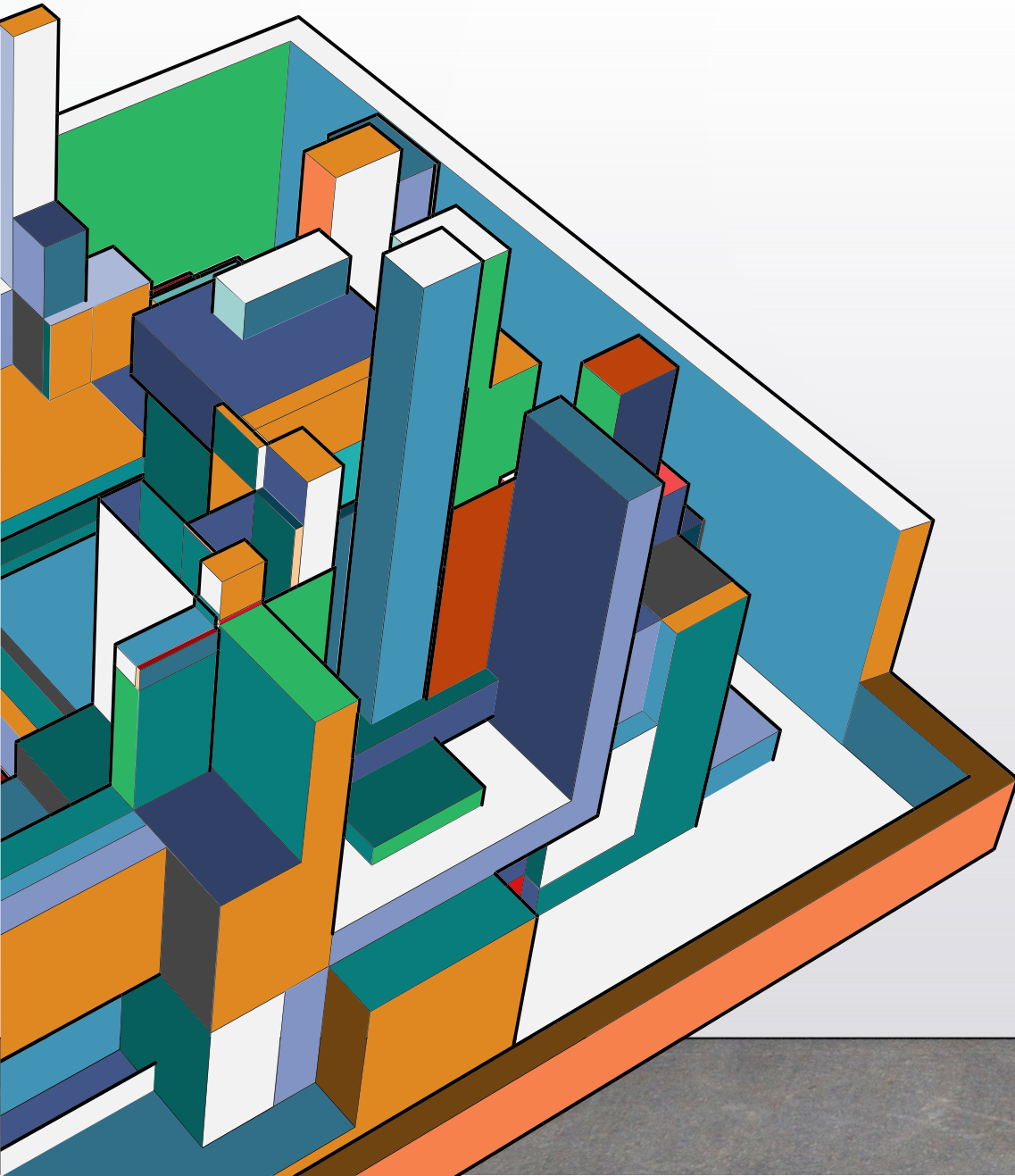
Need for continuous upkeep and training with OSAP system



Can overwhelm especially new preventionists



Programs may have already developed their own tracking systems (dashboards)



SUMMARY

SMART and WISE were born out of federal and state reporting requirements and are meant to align with the overall system.

SMART and WISE documents are meant to support evidence-based implementation of OSAP supported strategies for SAPT block grant and SPF Rx. They are meant to support implementation, not limit it.

The point of both is to help guide programs work with fidelity to the strategies they are contacted to work on.

The planning and reporting document is based on both SMART and WISE documents and meant to be used to honestly represent work achieved, not to inhibit prevention work.

They are living documents, so please let your OSAP team know if you have questions or recommendations.

THANK YOU

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